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REV. 10/01  
For Other Than A Small Entity

Docket No. CF-024

Applicant(s) : Andrew H. Pritchard  
Application No. : 09/927,628 Confirmation No.: 5363  
Filed : August 10, 2001  
For : SYSTEMS AND METHODS FOR DEVELOPING  
AND ADMINISTERING INVESTMENT TRUSTS  
Group Art Unit : 2162  
Examiner : Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231

New York, New York 10020  
October 25, 2001

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Technology Center 2100

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☐ a Reply to Office Action; ☐ a Supplemental Amendment;  
☐ a substitute Specification; ☐ a Declaration; ☐ a  
Supplemental Declaration; ☐ a Power of Attorney; ☐ an  
Associate Power of Attorney; ☒ formal drawings\*; to be filed  
in the above-identified patent application. \*Three (3) Sheets,  
Figures 1-3.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	16 - 20	* = 0	X \$ 18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3	** = 0	X \$ 84 =	\$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$280 =	\$0.00
* If less than 20, insert 20.				TOTAL <u>\$0.00</u>

\*\* If less than 3, insert 3.

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEES
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FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+ \$280 =	\$0.00
						TOTAL	<u>\$0.00</u>
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#### EXTENSION FEE

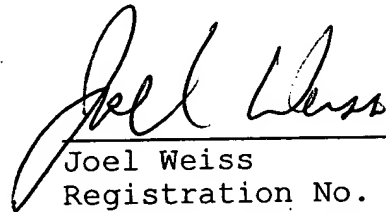
[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. \$ 1.136(a); [ ] \$400.00 extension fee for response within second month pursuant to 37 C.F.R. \$ 1.136(a); [ ] \$820.00 extension fee for response within third month pursuant to 37 C.F.R. \$ 1.136(a); [ ] \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R.

\$ 1.136(a); \$1,960.00 within fifth month pursuant to  
37 C.F.R. \$ 1.136(a).

☐ A check in the amount of ☐ \$110.00; ☐ \$400.00;  
☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00 in payment  
of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment  
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1.17 in connection with the paper(s) transmitted  
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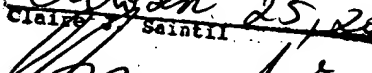
☐ Please charge the ☐ \$110.00; ☐ \$400.00;  
☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00; extension  
fee to Deposit Account No. 06-1075. A duplicate  
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herewith.



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Claire Saintil  
Signature of Person Signing



JAN 14 2002

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PATENTS  
CF-24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew H. Pritchard  
Application No. : 09/927,628 Conf. No.: 5363  
Filed : August 10, 2001  
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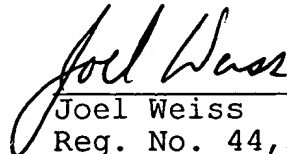
Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Sir:

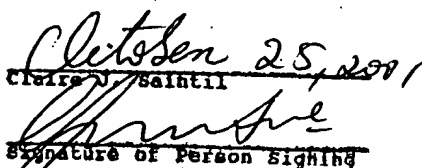
Pursuant to 37 C.F.R. § 1.85, applicant herewith  
furnishes three (3) sheets of formal drawings, to be  
substituted for the three (3) sheets of informal drawings  
filed with the above-identified patent application.

Respectfully submitted,

  
Joel Weiss

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Claire J. Saintil  
Signature of Person Signing